

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,176	12/21/2001	Michael W. Pfeiffer	P1572US01

CONFIRMATION NO. 6111

FORMALITIES LETTER



OC000000007345238

Crowe & Dunlevy
1800 Mid-America Tower
20 North Broadway
Oklahoma City, OK 73102-8273

Date Mailed: 01/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Fanaye A.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

02/25/2002 SMINASS1 00000036 10032176

01 FC:105

130.00 OP

RECEIVED

JAN 28 2002

CROWE & DUNLEVY

EXPRESS MAIL RECEIPT NO. ET948083998US
DEPOSITED ON FEBRUARY 15, 2002

PATENT
DKT. P1572US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Michael W. Pfeiffer**
Assignee: **SEAGATE TECHNOLOGY LLC**
Application No.: **10/032,176** Group No.: **3652**
Filed: **December 21, 2001** Examiner: **Unknown**
For: **COMPLIANCE MECHANISM FOR MANIPULATING A CONTROL OBJECT**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed January 23, 2002.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration that was filed was unsigned. A new original signed declaration is attached.

COMPLETION FEES

III.

1. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration (37 C.F.R. Section 1.16(e))	\$130.00
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2. Assignment (See "ASSIGNMENT
COVER SHEET")

\$40.00

Total Completion Fees

\$170.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$170.00
Total Fee Due	\$170.00

PAYMENT OF FEES

VI. Enclosed are checks in the amount of \$130.00 for missing parts and \$40.00 for recording of assignment.

Please charge Account No. 13-0110 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 13-0110.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
- 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

OTHER

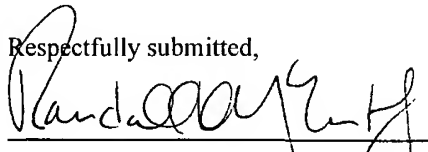
VIII. Other enclosures

1. Power of Attorney by Assignee of Entire Interest (Revocation of Prior Powers);
2. Assignment from inventor to Seagate Technology LLC, including Form PTO-1595 and Transmittal;
3. Transmittal of Formal Drawings Prior to Notice of Allowance;
4. 7 sheets of formal drawings;
5. Request for Corrected Filing Receipt, including copy of Filing Receipt; and
6. Request for Refund (Improper Charge of Deposit Account).

Date:

2/15/02

Respectfully submitted,



Randall K. McCarthy, Registration No. 39,297
Bill D. McCarthy, Registration No. 26,772
Daniel P. Dooley, Registration No. 46,369
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TRANSMITTAL OF FORMAL DRAWING(S)
PRIOR TO NOTICE OF ALLOWANCE

Attached please find the formal drawings for this application. 7 sheets

Date: _____

2/15/02

Respectfully submitted,

Randall K. McCarthy, Registration No. 39,297
Bill D. McCarthy, Registration No. 26,772
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